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#### Multiple pathways for SARS-CoV-2 resistance to nirmatrelvir

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#### Abstract

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Nirmatrelvir, an oral antiviral targeting the 3CL protease of SARS-CoV-2, has been demonstrated to be clinically useful against COVID-19<sup>1,2</sup>. However, as SARS-CoV-2 has evolved to become resistant to other therapeutic modalities<sup>3-9</sup>, there is a concern that the same could occur for nirmatrelvir. Here, we have examined this possibility by in vitro passaging of SARS-CoV-2 in nirmatrelvir using two independent approaches, including one on a large scale. Indeed, highly resistant viruses emerged from both, and their sequences revealed a multitude of 3CL protease mutations. In the experiment done with many replicates, 53 independent viral lineages were selected with mutations observed at 23 different residues of the enzyme. Yet, several common mutational pathways to nirmatrelvir resistance were preferred, with a majority of the viruses descending from T21I, P252L, or T304I as precursor mutations. Construction and analysis of 13 recombinant SARS-CoV-2 clones showed that these mutations only mediated low-level resistance, whereas greater resistance required accumulation of additional mutations. E166V mutation conferred the strongest resistance (~100-fold), but this mutation resulted in a loss of viral replicative fitness that was restored by compensatory changes such as L50F and T21I. Our findings indicate that SARS-CoV-2 resistance to nirmatrelvir does readily arise via multiple pathways in vitro, and the specific mutations observed herein form a strong foundation from which to study the mechanism of resistance in detail and to inform the design of next generation protease inhibitors.

#### Main text

- The COVID-19 (coronavirus disease 2019) pandemic has continued to affect the global populace.
- 50 The rapid development and deployment of effective vaccines as well as monoclonal antibody
- 51 therapeutics beginning in late 2020 have helped to greatly curtail its impacts 10-16. Yet, the etiologic
- 52 agent, SARS-CoV-2 (severe acute respiratory syndrome coronavirus 2), has continuously evolved
- 53 to develop resistance to antibody-mediated neutralization<sup>4-8</sup>. In particular, several of the recent
- 54 Omicron subvariants exhibit such strong antibody resistance that vaccines have had their
- 55 protection against infection dampened and a majority of current monoclonal therapeutics have lost
- 56 efficacy<sup>4,5,8</sup>, as manifested by increasing breakthrough infections in convalescing and/or
- 57 vaccinated individuals<sup>3</sup>.

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- 59 Fortunately, treatment options remain. In the United States, three antivirals have received
- 60 emergency use authorization for COVID-19 treatment: remdesivir<sup>17,18</sup>, molnupiravir<sup>19-21</sup>, and
- 61 nirmatrelvir<sup>1,2</sup> (also known as PF-07321332, used in combination with ritonavir and marketed as
- 62 PAXLOVID<sup>TM</sup>). The first two target the RNA-dependent RNA polymerase (RdRp), and the latter
- targets the 3CL protease (3CL<sup>pro</sup>; also known as main protease (M<sup>pro</sup>) and nonstructural protein 5
- 64 (nsp5)). Both enzymes are essential for the viral life cycle and relatively conserved among
- 65 coronaviruses<sup>22,23</sup>. Remdesivir is administered intravenously and has a reported relative risk
- 66 reduction of 87%<sup>18</sup>, whereas molnupiravir and nirmatrelvir are administered orally and have
- 67 reported clinical efficacies of 31%<sup>20</sup> and 89%<sup>1</sup>, respectively, in lowering hospitalization or death.
- As the use of these antivirals increases, there is a concern that drug resistance may arise,
- 69 particularly if given as monotherapies. For remdesivir, in vitro and in vivo studies have revealed
- mutations associated with resistance<sup>9,24,25</sup>, and resistance to molnupiravir or nirmatrelvir is now
- 11 under active investigation. Here, we report that there are multiple routes by which SARS-CoV-2
- 72 can gain resistance to nirmatrelvir *in vitro*.

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#### Nirmatrelvir resistance in Vero E6

- 75 To select for resistance to nirmatrelvir, SARS-CoV-2 (USA-WA1/2020 strain) was passaged in
- 76 the presence of increasing concentrations of the drug (see **Methods** for details). We conducted this
- initial experiment in triplicate, using Vero E6 cells as they have been one of the standard cell lines
- used in COVID-19 research. After 30 passages, each of the three lineages demonstrated a high

level of resistance, with IC<sub>50</sub> values increasing 33- to 50-fold relative to that of the original virus (**Figs. 1a-d**). Examination of earlier viral passages confirmed a stepwise increase in nirmatrelvir resistance with successive passaging (**Figs. 1b-d**), without any evidence of resistance to remdesivir (**Fig. 1e**). The resistant viruses selected by passaging maintained their replicative fitness *in vitro*, with similar growth kinetics as those passaged without nirmatrelvir (**Extended Data Fig. 1**).

We then sequenced the 3CL<sup>pro</sup> gene from the three viral lineages collected every three passages to investigate which mutations may confer resistance (**Fig. 1f**). We found that the three lineages harbored unique mutations, with only one mutation, at most, overlapping between the different lineages (T21I in lineages A and B, L50F in lineages B and C, and T304I in lineages A and C). The observed mutations occurred in a stepwise manner, mirroring the increases in drug resistance (**Fig. 1f**), and a number of them, but not all, were situated near the nirmatrelvir-binding site (**Fig. 1g**). Specifically, F140L and L167F were within 5 Å from nirmatrelvir. These results suggested that SARS-CoV-2 could readily develop nirmatrelvir resistance using several mutational pathways.

#### Nirmatrelvir resistance in Huh7-ACE2

We therefore set out to conduct another passaging experiment to select for nirmatrelvir resistance, but this time at a larger scale with many replicates to better capture the multitude of solutions that SARS-CoV-2 could adopt under drug pressure. For these later studies, we utilized Huh7-ACE2 cells to examine if differences would arise in human cells, and because Vero E6 cells express high levels of P-glycoprotein, an efflux transporter that limits the intracellular accumulation of nirmatrelvir<sup>26</sup>. We passaged SARS-CoV-2-mNeonGreen (USA-WA1/2020 background with ORF7 replaced with mNeonGreen<sup>27</sup>) independently in 480 wells for 16 passages, with increasing concentrations of nirmatrelvir over time, and viruses from every fourth passage were subjected to next-generation sequencing (NGS) (**Fig. 2a** and see **Methods** for details). After 16 passages, varying degrees of nirmatrelvir resistance were observed as exemplified by the three viruses shown (**Fig. 2b**). Sequencing of the 3CL<sup>pro</sup> in all wells that retained mNeonGreen signal identified 53 mutant populations (**Fig. 2c**). Across all of these populations, mutations were observed at 23 residues within the enzyme (1-6 mutations in each isolate), both proximal (≤ 5 Å; S144A, E166(A/V), H172(Q/Y), and R188G) and distal (> 5 Å) to nirmatrelvir (**Fig. 2d**). While there was widespread diversity among the passaged populations, seven mutations appeared ten or more times

across replicates: T21I, L50F, S144A, E166V, A173V, P252L, and T304I. The only frequently observed 3CL<sup>pro</sup> cleavage site mutation is T304I, which corresponds to the cleavage site nsp5/6 T(P3)I. Other sites were only rarely observed to mutate, suggesting that substrate cleavage site alterations are largely not responsible for the nirmatrelvir resistance (**Extended Data Fig. 2**), with the possible exception of cis-cleavage.

Sequencing of the same wells at earlier passages revealed less diversity in 3CL<sup>pro</sup>, with a total of 11, 16, and 22 unique mutations detected across all populations from passages 4, 8, and 12, respectively (**Supplemental Table 1**). As a standard phylogenetic analysis showed a rather complex stepwise order of acquisition of mutations for each passaged lineage (**Fig. 3a**), we more carefully analyzed the order in which mutations arose across the various lineages (see **Methods** and **Supplemental Table 1** for details) and generated a pathway network delineating the most common routes that SARS-CoV-2 took *in vitro* to develop nirmatrelvir resistance (**Fig. 3b and Supplemental Table 2**). The majority of these viral lineages descended initially from T21I, P252L, and T304I, suggesting that these mutations may serve as "founder" or "precursor" mutations when the drug concentrations are relatively low. Additional mutations then occurred, probably to increase the level of resistance as the drug concentrations were increased and/or to compensate for reduced viral fitness. These findings indicated that although there are multiple solutions for SARS-CoV-2 to resist nirmatrelyir, several common mutational pathways are favored.

#### Nirmatrelvir resistance mutations

To further investigate which mutations were responsible for nirmatrelvir resistance, we proceeded to generate recombinant SARS-CoV-2 clones, each containing a unique mutation or a combination of mutations. To construct the 15 mutant viruses from the first passage experiment (**Fig. 1f**) and the 22 mutant viruses from the second passage experiment (**Fig. 3a, b**) would be beyond the scope of the current study. We therefore decided to focus on the seven most common single point mutants from the large passaging study, as well as five double mutants and one triple mutant (**Extended Data Fig. 3**). All viruses grew similarly to wild type (WT) in the absence of drug, except for S144A, E166V, and T21I + S144A, which were significantly impaired in their growth kinetics (**Fig. 3c**). However, both T21I + E166V and L50F + E166V replicated well with kinetics similar to WT, suggesting that T21I and L50F each compensated for the fitness loss of E166V. Of the

individual mutants tested against nirmatrelvir, E166V was most resistant (100-fold), with P252L and T304I having low-level resistance (~6-fold), and S144A and A173V having minimal resistance (~3-fold or less) (**Figs. 4a, 4b and Extended Data Fig. 4**). Combination of either T21I or L50F with E166V resulted in a virus that was substantially resistant to nirmatrelvir (83-fold and 53-fold, respectively), but with WT replicative kinetics (**Fig. 3c**).

We next tested this panel of viruses against ensitrelvir<sup>28</sup> (also known as S-217622), another 3CL protease inhibitor that has demonstrated clinical efficacy<sup>29</sup>, for cross-resistance together with remdesivir as a control. Only S144A, E166V, and T21I + S144A showed substantial (13 to 23fold) cross-resistance to ensitrely ir (Fig. 4b and Extended Data Figs. 4, 5). As expected, none of these mutations conferred resistance to remdesivir. We additionally tested the passage 30 viruses resulting from the initial selection experiment in Vero E6 cells (Fig. 1) against these two inhibitors. Again, all three lineages were as susceptible to remdesivir as WT, and only lineage C (L50F + F140L + L167F + T304I) showed cross-resistance to ensittelyir (~25-fold) (Extended Data Fig. 6). This may be due to F140L, since L50F and T304I did not demonstrate ensitrely resistance (Fig. 4b) and L167 does not contact ensitted vir (see below). Together, these results suggest that some mutations, such as E166V, can confer a high degree of nirmatrelvir resistance alone, while others, such as T21I, P252L, and T304I, confer only low levels of nirmatrelvir resistance individually. The degree of cross-resistance to ensitrely ir was variable among the tested mutant viruses probably due to the differences in binding of these drugs to the substrate binding site of 3CL<sup>pro</sup> (Extended Data Fig. 7a). Nevertheless, it is clear that selection for nirmatrely resistance can yield mutations that confer cross-resistance to other inhibitors of clinical interest as well.

To begin to understand the mechanisms underlying the resistance conferred by these mutations, we considered their structural context. Nirmatrelvir and ensitrelvir both bind within the substrate binding site, but in differing modes, which may result in the differences observed in the inhibition profiles of the mutants (**Figs. 4b and Extended Data Fig. 7a**). E166 directly interacts with the lactam ring of nirmatrelvir via hydrogen bonding, and the valine substitution at this position may abrogate some of these interactions to result in the strong drug resistance observed (**Extended Data Fig. 7b**). E166 is also able to form hydrogen bonds with the first residue (S1) of the neighboring protomer and therefore is involved in dimerization, which is essential for protease

activity as the 3CL<sup>pro</sup> functions as a homodimer<sup>30</sup>. The disruption of the hydrogen-bonding interactions (**Extended Data Fig. 7b**) may explain the reduced fitness of the E166V mutant (**Fig. 3c**). The side chain of S144 forms a hydrogen bond with the main chain of L141 to stabilize the S1 subsite of the substrate binding site, so the S144A mutation may disorder this region and hamper the binding of both nirmatrelvir and ensitrelvir (**Extended Data Fig. 7c**), although it is not clear why this requires the T21I mutation in conjunction. L167 participates in the formation of the S4 subsite, and the L167F mutation may cause a steric clash with nirmatrelvir (**Extended Data Fig. 7d**). However, as ensitrelvir does not extend into the S4 subsite, this mutation may not be responsible for the cross-resistance observed in lineage C (**Extended Data Fig. 6**). As F140 interacts by  $\pi$ - $\pi$  stacking interactions with H163, which directly interacts with both nirmatrelvir and ensitrelvir, the F140L mutation may abrogate this interaction, resulting in resistance (**Extended Data Fig. 6**, **7a**, **7b**). For a number of these mutations, however, it is not immediately apparent how they confer drug resistance given that they are distant from the substrate binding site where the drugs bind (**Extended Data Fig. 3**).

Finally, we compared the mutations identified in this study to clinical SARS-CoV-2 sequences reported to GISAID<sup>31</sup>. Nearly all of the mutations we have identified were observed among the viruses circulating in the population, albeit at low frequencies (**Extended Data Fig. 8a**). Comparing the frequencies of these mutations in periods before and after the authorization of the combination of nirmatrelvir and ritonavir (PAXLOVID<sup>TM</sup>) did not show an appreciable increase in the observed mutations (**Extended Data Fig. 8b**).

#### Discussion

As antibody-based interventions for SARS-CoV-2 face increasing resistance by the emergence of variants of concern, antivirals with alternative modes of action have increased in importance. Nirmatrelvir, as an oral antiviral targeting 3CL<sup>pro</sup>, is a therapeutic that has shown high efficacy in lowering severe disease and hospitalization in infected persons who are at high risk and not vaccinated<sup>1,2</sup>. Indeed, it is the most commonly used antiviral drug to treat COVID-19 today<sup>32</sup>. Given the adaptations that the virus has already exhibited to other modes of treatment<sup>3-9</sup>, it is clinically important to understand the mechanisms by which nirmatrelvir resistance can occur. The results presented herein demonstrate that *in vitro* high-level resistance to nirmatrelvir can be

readily achieved by SARS-CoV-2, and that this can occur in a multitude of ways. This finding is consistent with our prior report on the extensive plasticity of the 3CL<sup>pro</sup> as discovered by deep mutational scanning<sup>33</sup>.

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In both Vero E6 cells (Fig. 1) and Huh7-ACE2 cells (Fig. 2), multiple lineages with nonoverlapping mutations evolved under increasing drug pressure, consistent with what has been seen in similar small-scale studies<sup>24,25,34,35</sup>. Conducting selection at scale, however, revealed that there are multiple mutational pathways to nirmatrelvir resistance but with several common trajectories preferred (Figs. 2c, 3a, 3b). A majority of lineages descended from viruses that acquired T21I, P252L, or T304I as an initial mutation. Recombinant SARS-CoV-2 constructed to contain each of these point mutants exhibited low-level resistance (Figs. 4a, 4b), suggesting that each of these precursor mutations may have allowed the virus to tolerate low concentrations of nirmatrelvir but required additional mutations as the drug pressure was increased. Notably, all three of these mutations are rather distal (> 5 Å) from nirmatrelvir (Fig. 2d), and their mechanism for resistance is not evident without additional studies. We note, however, that T304 corresponds to the P3 site on the nsp5/6 cleavage substrate for 3CL<sup>pro</sup> of both SARS-CoV and SARS-CoV-2 (Extended Data Fig. 2). Although the P3 site is exposed to solvent and thus not considered to confer stringent substrate specificity, it has been shown that a suitable functional group (such as the side chain of isoleucine) at the P3 site can assist in increasing inhibitor/substrate potency and selectivity for 3CL<sup>pro</sup>s<sup>36-38</sup>. Therefore, it is possible that T304I could facilitate the binding of the nsp5/6 cleavage site or promote the autocleavage process. The differing mutations observed between the two cell lines further emphasize the complexity and variety of pathways to achieve nirmatrelvir resistance, although it is not yet clear why certain mutations were specific to the Vero E6 cell line.

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Analyses with isogenic mutants also revealed that several mutations are responsible for the observed nirmatrelvir resistance, with the E166V mutation conferring the most resistance (100-fold) (**Fig. 4b**), as is being reported elsewhere<sup>33,35</sup>. This mutation, as well, conferred a degree of cross-resistance to ensitrelvir, another clinically relevant 3CL<sup>pro</sup> inhibitor<sup>28,29</sup>. The mechanism of resistance of E166V is explainable since it resides in the substrate binding site, and the valine substitution disrupts its hydrogen bonding to the lactam ring of nirmatrelvir (**Extended Data Fig. 7b**). However, this mutation lowered the replicative fitness of the virus in vitro (**Fig. 3c**), perhaps

because of a loss of interaction with the first residue of the neighboring protomer in dimerization (**Extended Data Fig. 7b**)<sup>30</sup>. Importantly, replicative fitness was restored when T21I or L50F was added (**Fig. 3c**), without a significant impact on drug resistance (**Fig. 4b**). How these two mutations compensate for the fitness loss of E166V remains unknown. It is worth mentioning that the E166V mutation was reported to be found in viral isolates from a few of the PAXLOVID<sup>TM</sup>-treated individuals in the EPIC-HR clinical trial<sup>1</sup> (see Fact Sheet for Healthcare Providers: Emergency Use Authorization for PAXLOVID<sup>TM</sup>, revised July 6, 2022).

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We have also found that a number of additional mutations could confer resistance to nirmatrelvir in vitro. T21I + S144A mediated not only significant resistance to nirmatrelvir but also crossresistance to ensittelvir (Fig. 4b), but this virus exhibited slower growth kinetics (Fig. 3c). Likewise, we inferred that both L167F and F140L were likely mediating drug resistance in the C-P30 lineage of the first in vitro passaging experiment (Fig. 1f) as discussed above along with possible structural explanations. It is clear, nevertheless, that we have only studied a limited number of the mutational pathways that SARS-CoV-2 took to evade nirmatrelvir. Furthermore, many of the mutations revealed by our study are without a straightforward structural explanation at this time, and indeed, while other in vitro or in silico studies have identified residues such as E166 to be of importance, they have missed these other residues that are distant from the substrate binding site<sup>39-41</sup>. It should also be mentioned that our studies were conducted with the ancestral WA1 strain, and the currently circulating Omicron variants, all of which except for BA.3 contain a P132H mutation in 3CL<sup>pro</sup>, may differ in their nirmatrelvir evasion pathways. While this mutation has been reported to have no direct effect on nirmatrelvir resistance, it may influence the emergence of subsequent resistance conferring mutations<sup>42</sup>. It will require extensive virological, biochemical, and structural studies to delineate which mutations confer resistance and how, as well as to understand how certain mutations play compensatory roles. A better understanding of the mechanisms of nirmatrelvir resistance could provide insight into the development of the next generation of 3CL<sup>pro</sup> inhibitors.

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Nirmatrelvir has been used to treat COVID-19 for only 6 months or less in most countries. SARS-CoV-2 resistance to this drug in patients has yet to be reported, and we see no appreciable difference in frequencies of the 3CL<sup>pro</sup> mutations that we have uncovered in periods before and

after the emergency use authorization (**Extended Data Fig. 8**). Perhaps the lack of nirmatrelvir resistance in patients to date is due to the high drug concentrations achieved with the prescribed regimen, making it difficult for the virus to accumulate mutations in a stepwise manner. In addition, the drug is administered while the immune system is also actively eliminating the virus, including any resistant forms that may have emerged. Therefore, it makes sense to focus our surveillance effort on immunocompromised individuals on nirmatrelvir treatment for the appearance of drugresistant virus. Past experience with other viral infections tells us that if drug resistance could be selected *in vitro*, it surely will occur *in vivo*. Although current COVID-19 therapies have been largely administered as monotherapies, it is possible that future treatment will benefit from the use of a combination of drugs to minimize the likelihood of SARS-CoV-2 escape.

#### Figure Legends

**Fig. 1. Identification of nirmatrelvir resistance in Vero E6 cells. a,** Changes in IC<sub>50</sub> during passaging of SARS-CoV-2 with nirmatrelvir. Vero E6 cells were infected in triplicate with SARS-CoV-2 (USA-WA1/2020) and passaged to fresh cells every 3 days for 30 passages. See Methods for additional details. **b-d,** Validation of nirmatrelvir resistance from the indicated passage from each of the three lineages, A, B, and C, respectively. **e,** Inhibition of passage 30 viruses from each lineage by remdesivir. **f,** Mutations in 3CL<sup>pro</sup> found in the indicated passages from each lineage. Dots indicate wild-type at that residue. Mutations are shaded according to frequency. **g,** Residues mutated in passaging in Vero E6 cells overlaid onto the 3CL<sup>pro</sup> structure with nirmatrelvir bound. The Cα of each residue that was mutated is denoted with a red sphere. The 3CL<sup>pro</sup>-nirmatrelvir complex was downloaded from PDB under accession code 7VH8. Error bars denote mean  $\pm$  s.e.m of four technical replicates in **a-e**.

Fig. 2. Identification of nirmatrelvir resistance at scale in Huh7-ACE2 cells. a, Passaging scheme. 480 wells were infected with SARS-CoV-2-mNeonGreen and passaged to fresh Huh7-ACE2 cells every 3-4 days, with the concentration of drug doubled every two passages. b, Validation of nirmatrelvir resistance of three wells from passage 16. These viral populations had the following mutations: 3A8 (T211, T3041), 1E11 (T211, N51Y, T3041), 5A2 (L50F, E166V). See Supplemental Table 1 for exact frequencies. Representative curves from a single experiment from two biologically independent experiments are shown. Error bars denote mean  $\pm$  s.e.m of three technical replicates. c, Mutations in 3CL<sup>pro</sup> found in passage 16 from 53 wells. Dots indicate wild-type at that residue. Mutations are shaded according to frequency. d, Residues mutated in passaging in Huh7-ACE2 cells overlaid onto the 3CL<sup>pro</sup> structure with nirmatrelvir bound. All 23 mutated residues across all the resistant populations are indicated with any individual isolate having between 1-6 mutations. The Cα of each residue that was mutated is denoted with a red sphere for mutations observed more than 10 times, and denoted with an orange sphere for mutations observed fewer than 10 times. The 3CL<sup>pro</sup>-nirmatrelvir complex was downloaded from PDB under accession code 7VH8.

Fig. 3. Pathways for SARS-CoV-2 resistance to nirmatrelvir. a, Phylogenetic tree of sequences from passaging in Huh7-ACE2 cells. Only sequences with mutations are shown. Sequences are denoted as passage number, followed by the well number. Mutations that arose along particular branches are annotated in red, "-" denotes when a mutation appears to be lost from a particular branch. b, Observed pathways for nirmatrelvir resistance in Huh7-ACE2 cells. The most commonly observed mutations in passage 16 were used to build these pathways (see Methods and Supplemental Table 2 for additional details). Nodes are colored from dark to light, with founder mutations colored darker. Percentages indicate the frequency by which the child nodes derive from the immediate parental node. Descendent arrows that do not sum to 100% indicate that a proportion did not advance beyond the indicated mutations in the experiment. c, Growth assay with recombinant live SARS-CoV-2 carrying single and combination 3CL<sup>pro</sup> mutations. Huh7-ACE2 cells were infected with 0.01 MOI of virus and luminescence was quantified at the indicated time points. S144A, E166V, and T21I + S144A are statistically significant from WT at 48 h (two-way ANOVA with Geisser-Greenhouse correction followed by Dunnett's multiple comparisons test; P=0.0039, P=0.0006, P=0.0006, respectively). Representative curves from a single experiment from two biologically independent experiments are shown. Error bars denote mean  $\pm$  s.e.m of three technical replicates.

**Fig. 4. Validation of identified mutations in isogenic recombinant SARS-CoV-2. a,** Individual inhibition curves of recombinant live SARS-CoV-2 carrying single and combination  $3CL^{pro}$  mutations by nirmatrelvir. Representative curves from a single experiment from three biologically independent experiments are shown. Error bars denote mean  $\pm$  s.e.m of three technical replicates. **b,** Inhibition of recombinant live SARS-CoV-2 carrying single and combination  $3CL^{pro}$  mutations by nirmatrelvir, ensitrelvir, and remdesivir. Values shown are fold change of mean values in IC50 relative to inhibition of wild-type from three biologically independent experiments.

Extended Data Fig. 1. Growth assays with SARS-CoV-2 passaged in Vero E6 cells. a-d, Growth was quantified for lineage A (a), lineage B (b), lineage C (c), and unpassaged SARS-CoV-2 (d, denoted as WT-P0) in comparison to SARS-CoV-2 passaged without nirmatrelvir for 30 passages (denoted as WT-P30). Vero E6 cells were infected with 200 TCID<sub>50</sub> of the indicated

336 viruses and viral RNA was quantified at the indicated time points. e, The slope during the 337 exponential phase (between 11 and 24 hours post-infection) of growth for the indicated viruses. 338 Extended Data Fig. 2. Mutations in the 11 3CL<sup>pro</sup> cut sites found in passage 16 from the 53 339 340 wells passaged in Huh7-ACE2 cells. Dots indicate wild-type at that cut site. Note that nsp4/5 341 M(P6')I = M6I, nsp5/6 S(P6)P = S301P, and nsp5/6 T(P3)I = T304I. 342 343 Extended Data Fig. 3. Mutations studied as isogenic recombinant SARS-CoV-2 overlaid onto 344 the 3CL protease structure. The  $C\alpha$  of each residue that was mutated is denoted with a red sphere. 345 The 3CL<sup>pro</sup>-nirmatrelvir complex was downloaded from PDB under accession code 7VH8. 346 Extended Data Fig. 4. Raw IC<sub>50</sub> values for recombinant live SARS-CoV-2 carrying single 347 and combination  $3CL^{pro}$  mutations by nirmatrelvir, ensittelvir, and remdesivir. Mean  $\pm$  SD 348 349 of three biologically independent experiments are shown. 350 Extended Data Fig. 5. Individual inhibition curves of recombinant live SARS-CoV-2 351 carrying single and combination 3CL<sup>pro</sup> mutations by ensitrelyir and remdesivir. 352 353 Representative curves from a single experiment from three biologically independent experiments 354 are shown. Error bars denote mean  $\pm$  s.e.m of three technical replicates. 355 356 Extended Data Fig. 6. Inhibition of passage 30 of SARS-CoV-2 passaged in Vero E6 cells by 357 nirmatrelvir, ensitrelvir, and remdesivir. a, Raw IC<sub>50</sub> values. b, Fold change relative to 358 inhibition of wild-type. 359 360 Extended Data Fig. 7. Structural analyses of 3CL<sup>pro</sup> mutations. a, Overlay of nirmatrelvir and ensitrelyir binding to 3CL<sup>pro</sup>. b, Several of the residues involved in direct interaction with 361 362 nirmatrelvir. c. Several of the residues involved in formation of the S1 subsite. d. Interaction of 363 L167 with nirmatrelvir. In a-d, nirmatrelvir is shown in yellow, enstirelvir is shown in lime green, the 3CL<sup>pro</sup>-nirmatrelvir complex is shown in marine, and the 3CL<sup>pro</sup>-ensitrelvir complex is shown 364

in gray. Protomer A is shown in marine and protomer B is shown in green. Hydrogen bonds are

indicated as black dashes. The 3CL<sup>pro</sup>-nirmatrelvir complex and 3CL<sup>pro</sup>-ensitrelvir complex were downloaded from PDB under accession codes 7VH8 and 7VU6, respectively. **Extended Data Fig. 8. Frequencies of identified 3CL<sup>pro</sup> mutations in GISAID. a,** All occurrences of the indicated mutations were tabulated from GISAID. b, All occurrences of the indicated mutations were tabulated from GISAID in the three months prior to EUA (9/22/2021 to 12/22/2021) or after EUA (3/26/2022 to 6/26/2022).

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#### 486 Methods 487 488 **Biosafety** All SARS-CoV-2 passaging, infection, and recombinant virus production was conducted in BSL-489 490 3 laboratories at Columbia University Irving Medical Center under procedures and guidelines 491 approved by the Columbia University Institutional Biosafety Committee (IBC). 492 493 **Compounds** 494 Nirmatrelvir was purchased from Aobius, ensitrelvir was purchased from Glixx Laboratories, and 495 remdesivir was purchased from Selleckchem. 496 497 **Cells** Vero E6 cells were obtained from ATCC (Catalog #CRL-1586), HEK293T cells were obtained 498 499 from ATCC (Catalog #CRL-3216), and Vero E6-TMPRSS2-T2A-ACE2 cells were obtained from 500 BEI Resources (Catalog #NR-54970). Huh7-ACE2 cells were generated previously<sup>33,43</sup>. Cell 501 morphology was visually confirmed prior to use and all cell lines tested mycoplasma negative. All 502 cells were maintained at 37 °C under 5% CO<sub>2</sub>. 503 504 In vitro selection for SARS-CoV-2 resistance to nirmatrelvir in Vero E6 cells 505 To select for the development of drug resistance against nirmatrelvir, WA1 (SARS-CoV-2, USA-506 WA1/2020 strain) was cultured in the presence of increasing concentrations of nirmatrelvir and 507 passaged 30 times. Virus isolates recovered from the culture at various passages were then 508 characterized for their resistance to nirmatrelyir and their replication capacity. 509 To initiate the passaging, Vero E6 cells were seeded in a 24 well-plate at a density of 1 x 10<sup>5</sup> cells 510 511 per well in complete media (DMEM + 10% FCS + penicillin/streptomycin), and then the drug and 512 virus were added the following day. The drug was prepared in a three-fold dilution series based on 513 the original IC<sub>50</sub> of the drug. The virus was added at 5,000 TCID<sub>50</sub> per well. Three days post-514 infection, each well was scored for cytopathic effects (CPE) in a range of 0 to 4+ based on comparison to control wells as previously described<sup>44</sup>, and 100 µL of the supernatant from the well 515 516 with a CPE score equal to or greater than 2+ was passaged to each well in the next culture plate.

The passage culture was set up in triplicate (lineages A, B, and C) and the passaging was performed independently, i.e., viruses in lineage A were kept within the lineage A series of wells at every passage. Along with the cultures passaged with nirmatrelvir, WA1 was passaged without nirmatrelvir in two independent wells to serve as a passage control.

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IC<sub>50</sub>s for each lineage in the passaging were determined based on the CPE scores at day 3 of each passage. IC<sub>50</sub> values were derived by using DeltaGraph (Red Rock Software).

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#### Sequencing of SARS-CoV-2 passaged in Vero E6 cells

526 For the SARS-CoV-2 passaged in Vero E6 cells, passages were sequenced by Sanger sequencing 527 or by Nanopore sequencing. For Sanger sequencing, viral RNA was isolated from the culture supernatant with QIAamp® Viral RNA Mini Kit (Qiagen), reverse transcribed to cDNA with 528 Superscript IV<sup>TM</sup> Reverse Transcriptase (Thermo Fisher) and the priming primer, nsp5.R1, and 529 subjected to nested PCR with Platinum<sup>TM</sup> SuperFi II (Thermo Fisher) to obtain the full length nsp5 530 531 gene. The primers for the first PCR are nsp5.F1: 5'-GTAGTGATGTGCTATTACCTCTTACGC-532 3' and nsp5.R1: 5'- GCAAAAGCAGACATAGCAATAATACC-3'. The primers for the second 533 PCR are nsp5.F2: 5'-CTTCAGTAACTCAGGTTCTGATGTTCT-3' and nsp5.R2: 534 ACCATTGAGTACTCTGGACTAAAACTAAA-3'. Both PCRs were run with the same condition of 98 °C for 30 s, 25 cycles of 98 °C for 15 s, 60 °C for 10 s, and 72 °C for 1 min, followed by 535 536 72 °C for 5 min. The PCR products were purified and sequenced (Genewiz). Mixtures of viruses 537 were determined by inspecting sequencing chromatograms. The sequences were analyzed using 538 Lasergene software (DNASTAR).

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540 For Nanopore sequencing, viral RNA was isolated from the culture supernatant with QIAamp® 541 Viral RNA Mini Kit (Qiagen), and then the Midnight RT PCR Expansion kit and Rapid Barcoding 542 kits (Oxford Nanopore) were used to amplify and barcode overlapping 1,200 bp amplicons tiled across the viral genome<sup>45,46</sup>. An Oxford Nanopore GridION with R9.4.1 flow cells was used for 543 544 sequencing. Basecalling was performed in MinKNOW v22.05.1. Consensus sequence generation 545 performed was using the ONT Epi2Me **ARTIC** Nextflow pipeline v0.3.16 546 (https://github.com/epi2me-labs/wf-artic). Pangolin 4.0.6 with UShER v1.6 was used for

parsimony-based lineage assignment. Sequences have been deposited to GenBank (ON924329-

ON924335, ON930401-ON930431) (Supplemental Table 3).

#### Inhibition assay with SARS-CoV-2 passaged in Vero E6 cells

- To characterize the inhibition of passaged viruses, each of the viruses were first propagated in Vero E6 cells in the absence of drug and titrated by the Reed-Muench method<sup>47</sup>. Vero E6 cells were then seeded in 96 well-plates at a density of 1.5 x 10<sup>4</sup> cells per well in complete media. The following day, the virus was inoculated at a dose of 500 TCID<sub>50</sub> per well, and a two-fold dilution series of inhibitor was added in quadruplicate. Three days post-infection, the level of CPE was scored and the IC<sub>50</sub> was derived by fitting a nonlinear regression curve to the data in GraphPad
- 557 Prism version 9.4 (Dotmatics).

#### Growth assay with SARS-CoV-2 passaged in Vero E6 cells

The fitness of passaged viruses was characterized by a viral growth assay. Vero E6 cells were seeded in 96 well-plates at a density of 1.5 x 10<sup>4</sup> cells per well in complete media. The following day, the virus was inoculated at a dose of 200 TCID<sub>50</sub> per well in quadruplicate. At 6 h post-infection, free virions in the culture were removed by changing of the media twice. At 11, 24, 35, and 49 h post-infection, 50 μL of the culture supernatant from each well was collected and replenished with an equivalent volume of fresh media. Viral RNA from each time point was purified by using PureLink<sup>TM</sup> Pro 96 Viral RNA/DNA Purification Kit (Thermo Fisher) and then the viral copy number in each sample was estimated by qRT-PCR using TaqPath<sup>TM</sup> 1-Step RT-qPCR Master Mix (Thermo Fisher) and 2019-nCov CDC EUA Kit (Integrated DNA Technologies) with 7500 Fast Dx Real-Time PCR Instrument (Applied Biosystems).

#### In vitro selection for SARS-CoV-2 resistance to nirmatrelvir in Huh7-ACE2 cells

To conduct selection at scale to observe as many resistance pathways as possible, SARS-CoV-2 infection was conducted in five 96 well-plates, thereby allowing for 480 independent selection lineages. We hypothesized that the use of limited number of cells allowed for a "bottleneck effect" to occur, which enabled observation of rarer events that may be outcompeted from a larger population.

To initiate the passaging, 3 x 10<sup>4</sup> Huh7-ACE2 cells per well were seeded in complete media in five 96 well-plates. The following day, all wells were infected with 0.05 MOI of SARS-CoV-2mNeonGreen (a fluorescent reporter variant of USA-WA1/2020, gift of Pei-Yong Shi)<sup>27</sup> without drug to generate passage 0 (P0). For each successive passage, cells were seeded the day prior to infection, and then the drug and virus were added, three to four days post-infection of the previous passage. The drug was initially added at 25 nM and then doubled every other successive passage. Viruses were transferred between passages by overlaying 50 µL of the supernatant from the previous passage. After 16 passages, all 54 wells positive for mNeonGreen signal were sequenced, of which 53 lineages could be determined.

#### Inhibition assay with SARS-CoV-2 passaged in Huh7-ACE2 cells

To characterize the inhibition of passaged viruses, each of the viruses were first propagated in Huh7-ACE2 cells in the absence of drug and titrated by the Reed-Muench method<sup>47</sup>. Huh7-ACE2 cells were then seeded in 96 well-plates at a density of 2 x 10<sup>4</sup> cells per well in complete media. The following day, the virus was inoculated at a dose of 0.05 MOI per well, and a five-fold dilution series of inhibitor was added in triplicate. At 24 h post-infection, the supernatant was aspirated and cells were fixed with 4% PFA in PBS and stained with DAPI. Cells were then imaged for DAPI and GFP using IN Cell 2000 (GE) and analyzed with CellProfiler version 4.0.7<sup>48</sup>. The IC<sub>50</sub> was then derived by fitting a nonlinear regression curve to the data in GraphPad Prism version 9.4 (Dotmatics).

#### Sequencing of SARS-CoV-2 passaged in Huh7-ACE2 cells

For the SARS-CoV-2 passaged in Huh7-ACE2 cells, passages were sequenced by Illumina next-generation sequencing. Viral RNA was first extracted using PureLink<sup>TM</sup> Pro 96 Viral RNA/DNA Purification Kit (Thermo Fisher). Reverse transcription was carried out using Maxima H Minus First Strand cDNA Synthesis Kit (Thermo Fisher) with random hexamers according to the manufacturer's instructions. Briefly, 13.75 μL of viral RNA was mixed with 0.25 μL random hexamers (50 ng/μL) and 1 μL dNTPs (10 mM), and incubated at 65 °C for 5 min followed by 4 °C for 1 min. Then, a mixture containing 4 μL 5x RT buffer, 0.25 μL enzyme mix (containing Maxima H Minus RT and RNase inhibitor), and 0.75 μL H<sub>2</sub>O was added to each sample and the reactions were incubated at 25 °C for 10 min, 55 °C for 30 min, and 85 °C for 5 min.

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- 610 Sequencing libraries were prepared by amplifying either nine fragments tiled across the 3CL<sup>pro</sup> 611
- 612
- open reading frame and adjacent nsp4/5 and nsp5/6 cut sites, or nine fragments containing each of the remaining 3CL<sup>pro</sup> cut sites (see Supplemental Table 4 for primer sequences). Primers
- 613 amplifying non-adjacent fragments of the 3CL<sup>pro</sup> were pooled together and reactions were carried
- 614 out in technical duplicate, for a total of four first-round PCRs per sample. Each first-round PCR
- 615 contained the following components: 1 µL cDNA, 0.25 µL 100 µM pooled primers, 0.4 µL 10
- 616 mM dNTPs, 2 μL 10x Tag buffer, 0.1 μL Tag DNA polymerase (Enzymatics), and 16.25 μL H<sub>2</sub>O.
- 617 Cycling conditions were as follows: (1) 94 °C, 3 min, (2) 94 °C, 30 s, (3) 54 °C, 20 s, (4) 72 °C,
- 30 s, (5) Return to step #2 for 34 additional cycles, (6) 72 °C, 3 min, (7) Hold at 4 °C. 618

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- 620 Products from the four first-round PCRs for each sample were pooled and gel purified, and a
- 621 second-round indexing PCR was carried out for each sample with the following reagents: 1 µL
- 622 template DNA, 0.25 µL each 100 µM indexing primer, 0.4 µL 10 mM dNTPs, 2 µL 10x Taq buffer,
- 623 0.1 µL Tag DNA polymerase, and 16.25 µL H<sub>2</sub>O. The cycling conditions were as follows: (1)
- 94 °C, 3 min, (2) 94 °C, 30 s, (3) 54 °C, 20 s, (4) 72 °C, 30 s, (5) Return to step #2 for 6 additional 624
- 625 cycles, (6) 72 °C, 3 min, (7) Hold at 4 °C.

626

- 627 Second round PCR products were pooled, gel purified, and sequenced on an Illumina NextSeq
- 628 system with 150 bp single-end reads. For select samples, sequences were confirmed using
- 629 nanopore sequencing (Plasmidsaurus). For samples P16-2D9, P12-1A4, and 4-3A1, the original
- 630 Illumina sequencing results were replaced with the Nanopore sequencing results.

- 632 For each sample, mutations and their frequencies were identified using the V-pipe computational
- pipeline (version 2.99.2)<sup>49</sup>, with Wuhan-Hu-1 (GenBank accession no. MN908947) set as the 633
- 634 reference sequence. Frequency thresholds for reporting mutations were set at 5% and 10% for
- 635 Illumina and nanopore sequencing, respectively. See Supplemental Table 1 for absolute
- 636 frequencies of mutations within each sample. Raw sequencing data have been deposited to NCBI
- 637 Short Read Archive under BioProject Accession ID PRJNA852265 (see Supplemental Table 5
- 638 for SRA Accession IDs for each sample). These sequences were clustered for Fig. 2c using
- seaborn.clustermap under default settings, which utilizes the UPGMA algorithm through SciPv<sup>50,51</sup>. 639

The phylogenetic analysis shown in **Extended Data Fig. 3** was produced in Geneious Prime v2022.1 with PHYML extension, using the GTR substitution model with the optimization conditions of topology/length/rate.

#### Pathway analysis for SARS-CoV-2 passaged in Huh7-ACE2 cells

Fig. 3a was constructed from lineages containing only the mutations that were found most commonly in passage 16: T21I, T304I, A173V, E166V, P252L, S144A, and L50F. These lineages were determined based on the frequencies of the corresponding mutations in a given well at each passage. Pairs of mutants whose frequencies summed to greater than 100% were assumed to co-occur on the same allele. The same logic was extended to identify triple and quadruple mutants, such that if each pairwise sum of frequencies within a group of mutations was greater than 100%, all mutations within that group were assumed to occur together. The order in which mutations in a given lineage arose was imputed either from stepwise appearance over time (e.g., passage 4 has mutation 1 and passage 8 has mutation 1 and mutation 2 at a total combined frequency >100%) with increasing frequencies, or, in cases where 2 mutations arose between sequenced passages and were deemed to co-occur in a single virus, by their relative frequencies (e.g., if passage 4 has no mutations and passage 8 has mutation 1 at 99% frequency and mutation 2 at 30% frequency, mutation 1 was assumed to have arisen first). See Supplemental Table 2 for the datapoints used in this analysis.

#### **Recombinant SARS-CoV-2 production**

A reverse genetics system based on the pBeloBAC11 bacterial artificial chromosome (BAC) containing the SARS-CoV-2 genome with a NanoLuc luciferase reporter replacing ORF7a<sup>52</sup> (gift of Luis-Martinez Sobrido) was used to produce recombinant SARS-CoV-2 harboring 3CL<sup>pro</sup> mutations. Mutants BACs were produced as previously described<sup>33</sup>; see **Supplemental Table 6** for a list of mutagenic primers used. These BACs (2 μg each) were then transfected into HEK293T cells in 12 well-plates in triplicate using Lipofectamine<sup>TM</sup> 3000 Transfection Reagent (Thermo Fisher) according to the manufacturer's instructions. Two days post-transfection, cells were pooled and overlaid onto Vero E6-TMPRSS2-T2A-ACE2 cells in 25 cm<sup>2</sup> flasks. After three days, the supernatant was collected from these cells and clarified by centrifugation, then used to infect Vero E6 cells in 75 cm<sup>2</sup> flasks. Four days post-infection, the supernatant was harvested, clarified by

centrifugation, and aliquoted. Viruses were stored at -80 °C prior to use. An aliquot of all recombinant viruses was confirmed by nanopore sequencing for the mutation of interest and for purity prior to use.

#### Inhibition assay with recombinant SARS-CoV-2

Viruses were first titrated to normalize input. To characterize inhibition, Huh7-ACE2 cells were seeded at a density of 2 x 10<sup>4</sup> cells per well in 96 well-plates. The following day, cells were infected with 0.05 MOI of virus, and treated with inhibitor in a five-fold dilution series. One day post-infection, cells were lysed and luminescence was quantified using the Nano-Glo® Luciferase Assay System (Promega) according to the manufacturer's instructions with a SpectraMax i3x Multi-Mode Microplate Reader (Molecular Devices) using the SoftMax Pro 7.0.2 software (Molecular Devices). IC50s were derived by fitting a nonlinear regression curve to the data in GraphPad Prism version 9.4 (Dotmatics).

#### Growth assay with recombinant SARS-CoV-2

Viruses were first titrated to normalize input. To characterize fitness, Huh7-ACE2 cells were seeded at a density of 2 x 10<sup>4</sup> cells per well in 96 well-plates. The following day, cells were infected with 0.01 MOI of virus. At 12, 24, 36, and 48 h post-infection, cells were lysed and luminescence was quantified using the Nano-Glo® Luciferase Assay System according to the manufacturer's instructions with a SpectraMax i3x Multi-Mode Microplate Reader using the SoftMax Pro 7.0.2 software.

#### Retrieval of clinical mutation frequencies

- 694 COVID-19 CG was used to retrieve all clinically observed 3CL<sup>pro</sup> mutations from GISAID on June
- 695 26, 2022, either since the start of the COVID-19 pandemic or between March 26-June 26, 2022,
- and September 22-December 22, 2021<sup>31,53</sup>.

#### 697 Acknowledgements

- This study was supported by funding from the JPB Foundation, Andrew and Peggy Cherng,
- 699 Samuel Yin, Carol Ludwig, and David and Roger Wu to D.D.H. A.C. is supported by a Career
- Awards for Medical Scientists from the Burroughs Wellcome Fund. We thank Pei-Yong Shi for
- 701 the SARS-CoV-2-mNeonGreen reporter virus and Luis Martinez-Sobrido and Chengjin Ye for
- 702 the bacterial artificial chromosome system to generate recombinant SARS-CoV-2.

703

#### 704 Author contributions

- S.I., B.C., S.J.H., A.C., and D.D.H. conceived this project and approach to scaled screening for
- resistant viral variants. S.I. and H.M. conducted the *in vitro* passaging. S.I., H.M., B.C., S.J.H.,
- 707 M.K.A., A-C.U., and A.C. conducted the sequencing. S.I. and H.M. conducted the fitness and
- 708 inhibition assays. B.C., S.J.H., and A.C. conducted the pathway analyses. S.I., H.M., B.C.,
- 709 S.J.H., M.I.L., Y.S., and A.C. generated recombinant SARS-CoV-2. Y.D., Y.G., Z.S., and H.Y.
- 710 conducted the structural analyses. S.P.G. contributed to discussions of the data and analysis. S.I.,
- 711 H.M., B.C., S.J.H., Y.D., H.Y., A.C., and D.D.H. wrote the manuscript with input from all
- 712 authors.

713

714

#### **Competing interests**

- 715 S.I., A.C., and D.D.H. are inventors on patent applications related to the development of
- 716 inhibitors against the SARS-CoV-2 3CL protease. D.D.H. is a co-founder of TaiMed Biologics
- and RenBio, consultant to WuXi Biologics and Brii Biosciences, and board director for
- 718 Vicarious Surgical.

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#### Materials availability

- Materials used in this study will be made available under an appropriate Materials Transfer
- 722 Agreement.

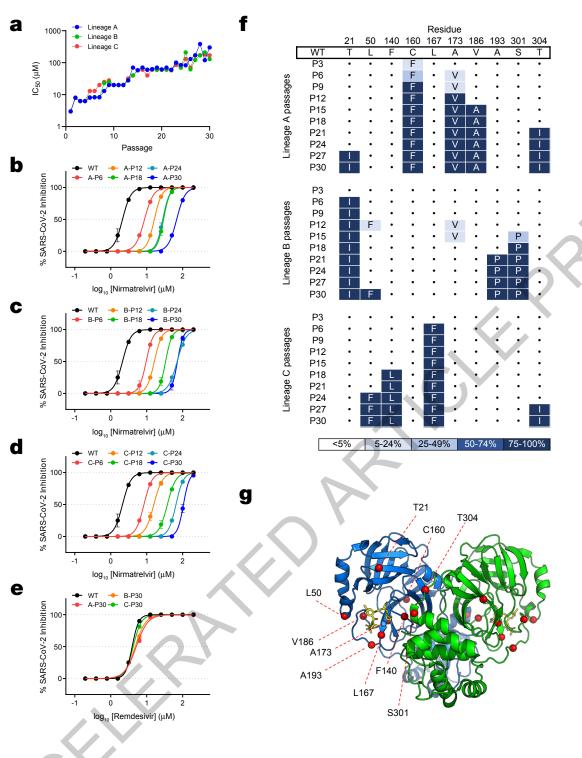
723 724

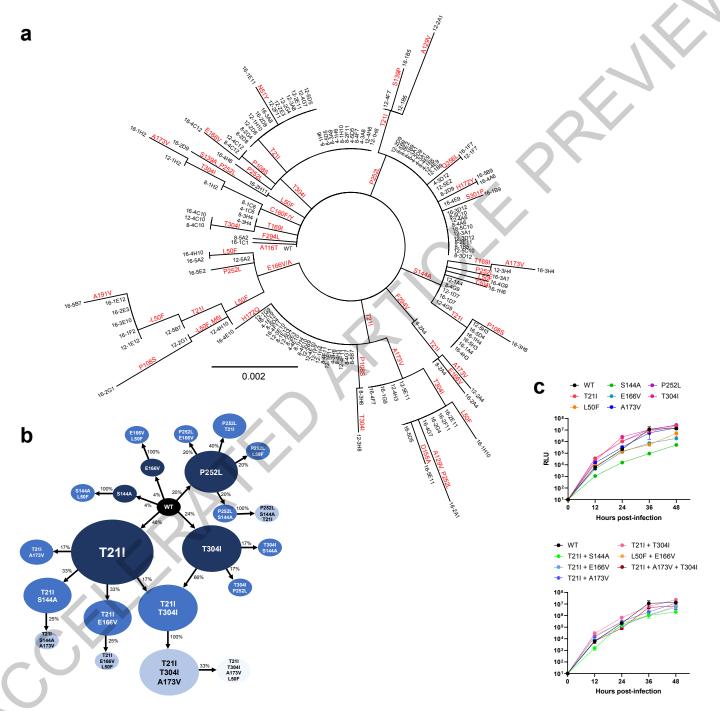
#### Data availability

- All experimental data are provided in the manuscript. The sequences of mutants from passaging
- in Vero E6 cells have been deposited to GenBank (ON924329-ON924335, ON930401-
- ON930431). The raw next-generation sequencing data of passaging in Huh7-ACE2 cells are

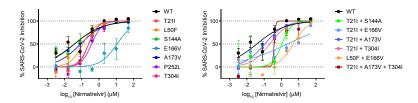
728 available from the NCBI Short Read Archive under BioProject Accession ID PRJNA852265. 729 The structures of the 3CL<sup>pro</sup>-nirmatrelvir complex and 3CL<sup>pro</sup>-ensitrelvir complex were 730 downloaded from PDB under accession codes 7VH8 and 7VU6, respectively. The Wuhan-Hu-1 731 sequence used for alignment was downloaded from GenBank (accession no. MN908947). 732 733 **Code availability** 734 Sequencing data processing and visualization was performed using the ONT ARTIC Nextflow 735 pipeline (https://github.com/epi2me-labs/wf-artic) and the V-pipe computational pipeline 736 (https://github.com/cbg-ethz/V-pipe), and clustering was performed using seaborn 737 (https://github.com/mwaskom/seaborn), which utilizes SciPy (https://github.com/scipy/scipy), all 738 of which are publicly available software and packages. 739

740	Additi	onal References
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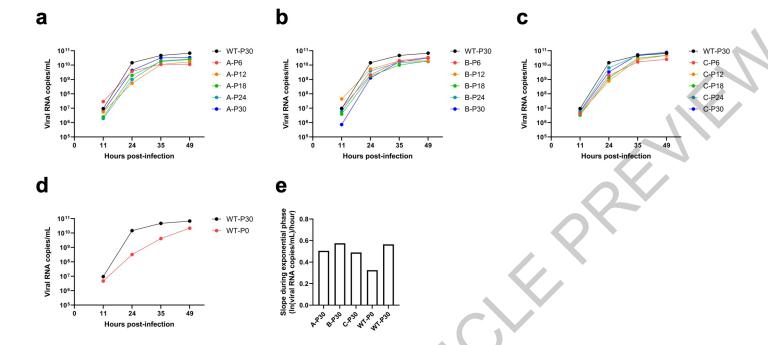
a



b

Fold change in IC <sub>50</sub>			
relative to WT	Nirmatrelvir	Ensitrelvir	Remdesivir
T21I	-4.6	-1.7	1.7
L50F	-4.2	-2.8	1.2
S144A	-2.2	-13	2.9
E166V	-100	-23	3.7
A173V	-1.7	-1.7	-2.0
P252L	-5.9	-1.9	1.5
T304I	-5.5	-1.6	1.6
T21I + S144A	-9.4	-18	1.7
T21I + E166V	-83	-3.4	-2.4
T21I + A173V	-3.1	2.0	-2.1
T21I + T304I	-3.3	1.0	2.8
L50F + E166V	-53	-3.7	-1.9
T21I + A173V + T304I	-15	2.1	-2.9

<-5 <-10 <-100

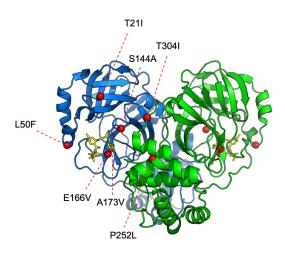


Extended Data Fig. 1

Cleavage site nsp7/nsp8 NRATLQ/ nsp8/nsp9 SAVKLQ/ nsp9/nsp10 nsp10/nsp12 nsp12/nsp13 nsp13/nsp14 nsp14/nsp15 nsp15/nsp16
ATVRLQ/ REPMLQ/ PHTVLQ/ NVATLQ/ TFTRLQ/ FYPKLQ/
AGNATE SADAQS AVGACV AENVTG SLENVA SSQAWQ nsp4/5 TSAVLQ/ nsp5/6 SGVTFQ/ nsp6/nsp7 KVATVQ/ SKMSDV WT SGFRKM SAVKRT AIASEF NNELSP 2A1 4C12 M(P6')I 2G1 3H4 4C10 1H2 1H6 3A1 3H8 T(P3)I 4G9 1D7 4H3 1A4 5D4 1H4 5H3 4F11 T(P3)I 1C6 1E11 2D8 3A8 4F7 1G9 5E11 5D5 T(P3)I 2F11 T(P3)I 2E11 T(P3)I 2G4 T(P3)I Q(P6')H 1H10 4G7 4E10 2A4 5B7 T(P3)I 1F2 3E10 F(P5)C 2E3 1E12 2D9 5A2 4H10 T(P3)I F(P6)L 1C1 2C10 T(P3)I 2H11 1B5 5B9 4A6 5E2 4H6 T(P3)I 5C10 R(P6)S 1B9 S(P6)P 1F7 S(P6)P 4E9 3D12

5-24% 25-49% 50-74% 75-100%

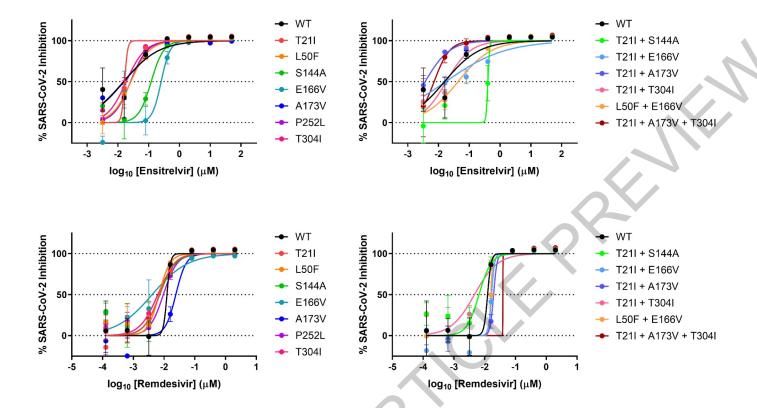
Extended Data Fig. 2



## Extended Data Fig. 3

IC <sub>50</sub> (μM) ± SD	Nirmatrelvir	Ensitrelvir	Remdesivir
WT	0.051 ± 0.010	0.013 ± 0.005	0.009 ± 0.003
T21I	0.233 ± 0.028	0.021 ± 0.006	0.005 ± 0.002
L50F	0.215 ± 0.108	0.034 ± 0.006	0.007 ± 0.004
S144A	0.024 ± 0.011	0.166 ± 0.039	0.003 ± 0.003
E166V	5.10 ± 4.24	0.294 ± 0.124	0.002 ± 0.001
A173V	0.088 ± 0.027	0.022 ± 0.012	0.017 ± 0.005
P252L	0.297 ± 0.073	0.023 ± 0.009	0.006 ± 0.003
T304I	0.278 ± 0.043	0.019 ± 0.011	0.006 ± 0.002
T21I + S144A	0.478 ± 0.420	0.231 ± 0.148	0.005 ± 0.004
T21I + E166V	4.23 ± 2.90	0.042 ± 0.021	0.021 ± 0.006
T21I + A173V	0.160 ± 0.038	0.006 ± 0.005	0.018 ± 0.001
T21I + T304I	0.168 ± 0.030	0.013 ± 0.004	0.003 ± 0.001
L50F + E166V	2.70 ± 1.53	0.046 ± 0.024	0.017 ± 0.000
T21I + A173V + T304I	0.756 ± 0.391	0.006 ± 0.004	0.025 ± 0.010

## Extended Data Fig. 4



**Extended Data Fig. 5** 

a

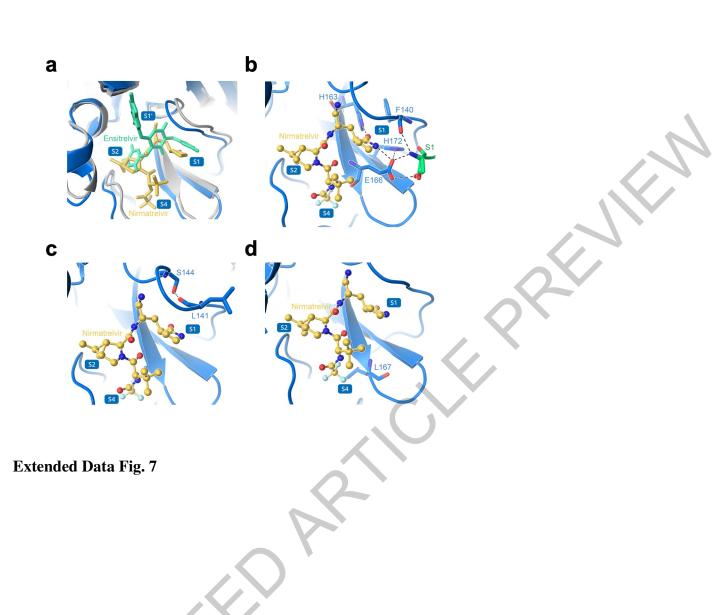
IC <sub>50</sub> (μM)	Nirmatrelvir	Ensitrelvir	Remdesivir
WT	2.128	0.178	3.840
A-P30	60.7	0.383	5.300
B-P30	61.4	0.534	5.010
C-P30	116.3	4.576	4.120

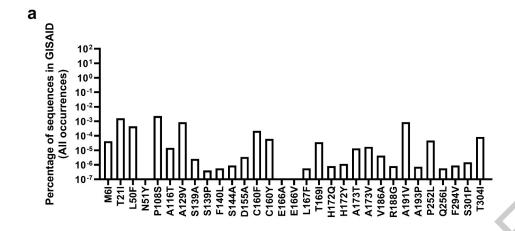
b

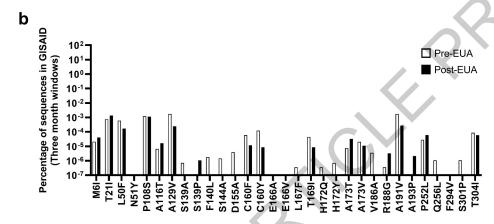
Fold change in IC <sub>50</sub> relative to WT	Nirmatrelvir	Ensitrelvir	Remdesivir
A-P30	-28.52	-2.15	-1.38
B-P30	-28.83	-3.00	-1.30
C-P30	-54.65	-25.71	-1.07

<-5 <-10 <-100

## Extended Data Fig. 6







**Extended Data Fig. 8** 

## nature portfolio

Corresponding author(s):	Alejandro Chavez, David D. Ho
Last undated by author(s):	Oct 17, 2022

## **Reporting Summary**

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For all statistical analyses, confirm that the following items are present in the figure legend, table legend, main text, or Methods section.

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n/a	Confirmed
	The exact sample size $(n)$ for each experimental group/condition, given as a discrete number and unit of measurement
	A statement on whether measurements were taken from distinct samples or whether the same sample was measured repeatedly
	The statistical test(s) used AND whether they are one- or two-sided  Only common tests should be described solely by name; describe more complex techniques in the Methods section.
$\boxtimes$	A description of all covariates tested
	A description of any assumptions or corrections, such as tests of normality and adjustment for multiple comparisons
	A full description of the statistical parameters including central tendency (e.g. means) or other basic estimates (e.g. regression coefficient) AND variation (e.g. standard deviation) or associated estimates of uncertainty (e.g. confidence intervals)
	For null hypothesis testing, the test statistic (e.g. <i>F</i> , <i>t</i> , <i>r</i> ) with confidence intervals, effect sizes, degrees of freedom and <i>P</i> value noted <i>Give P values as exact values whenever suitable.</i>
$\boxtimes$	For Bayesian analysis, information on the choice of priors and Markov chain Monte Carlo settings
$\boxtimes$	For hierarchical and complex designs, identification of the appropriate level for tests and full reporting of outcomes
$\boxtimes$	Estimates of effect sizes (e.g. Cohen's <i>d</i> , Pearson's <i>r</i> ), indicating how they were calculated

Our web collection on statistics for biologists contains articles on many of the points above.

#### Software and code

Policy information about availability of computer code

Data collection

SoftMax Pro 7.0.2 (Molecular Devices, LLC) was used to measure luminescence in the inhibition assays.

Data analysis

DeltaGraph version 7 (Red Rock Software) and GraphPad Prism version 9.4 (Dotmatics) were used for determination of IC50 values and statistical tests. GraphPad version 9.4 was used for data visualization. Lasergene software version 17 (DNASTAR) was used for Sanger sequencing analysis. MinKNOW v22.05.1, ONT Epi2Me ARTIC Nextflow pipeline v0.3.16, Pangolin 4.0.6 with UShER v1.6, and V-pipe version 2.99.2 was used for next-generation sequencing analysis. seaborn v0.10.0, which utilizes SciPy, was used for clustering. Geneious Prime v2022.1 with PHYML extension was used for phylogenetic analyses. COVID-19 CG was used for querying sequences deposited to GISAID. CellProfiler version 4.0.7 was used for image analysis.

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#### Data

Policy information about availability of data

All manuscripts must include a data availability statement. This statement should provide the following information, where applicable:

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- A description of any restrictions on data availability
- For clinical datasets or third party data, please ensure that the statement adheres to our policy

Policy information about studies involving human research participants and Sex and Gender in Research.

All experimental data are provided in the manuscript. The sequences of mutants from passaging in Vero E6 cells have been deposited to GenBank (ON924329-ON924335, ON930401-ON930431). The raw next-generation sequencing data of passaging in Huh7-ACE2 cells are available from the NCBI Short Read Archive under BioProject Accession ID PRJNA852265. The structures of the 3CLpro-nirmatrelvir complex and 3CLpro-ensitrelvir complex were downloaded from PDB under accession codes 7VH8 and 7VU6, respectively. The Wuhan-Hu-1 sequence used for alignment was downloaded from GenBank (accession no. MN908947).

#### Human research participants

Reporting on sex and gender	N/A, this study did not involve human research participants.
Population characteristics	N/A, this study did not involve human research participants.
Recruitment	N/A, this study did not involve human research participants.
Recruitment	TV/A, and study and not involve number research participants.
Ethics oversight	N/A, this study did not involve human research participants.

Note that full information on the approval of the study protocol must also be provided in the manuscript.

## Field-specific reporting

Please select the one belov	w that is the best fit for your research	i. If you are not sure, read the appropriate sections before making your selection.		
X Life sciences	Behavioural & social sciences	Ecological, evolutionary & environmental sciences		
For a reference copy of the docum	nent with all sections, see <u>nature.com/document</u>	ts/nr-reporting-summary-flat.pdf		
life sciences study design				

### ile sciences study design

All studies must disclose on these points even when the disclosure is negative.

Sample size	No sample size calculation was performed. We utilized sample sizes as used in similar studies which allowed for results which could be replicated (e.g., Iketani et al 2022 Nature, Liu et al 2022 Nature, Wang et al 2021 Nature).
Data exclusions	No data were excluded.
Replication	The inhibition assay in Fig. 2b and the growth assay in Fig. 3b were repeated independently twice. The inhibition assay in Fig. 4a were repeated independently three times.
Randomization	As this is an observational study, randomization is not relevant.
Blinding	As this is an observational study, investigators were not blinded.

## Reporting for specific materials, systems and methods

We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response.

Materials & experimental systems Methods	
n/a Involved in the study	n/a   Involved in the study
Antibodies	ChIP-seq
Eukaryotic cell lines	Flow cytometry
Palaeontology and archaed	
Animals and other organisms	
Clinical data	
Dual use research of concern	
Eukaryotic cell lines	
	s and Sex and Gender in Research
Cell line source(s)	Vero E6 cells were obtained from ATCC (Catalog #CRL-1586), HEK293T cells were obtained from ATCC (Catalog #CRL-3216), and Vero E6-TMPRSS2-T2A-ACE2 cells were obtained from BEI Resources (Catalog #NR-54970). Huh7-ACE2 cells were generated previously (refs 33,40).
Authentication	Cell lines were purchased from authenticated vendors, and morphology was also confirmed visually prior to use.
Mycoplasma contamination	Cell lines tested mycoplasma negative.
Commonly misidentified lines (See ICLAC register)	No commonly misidentified cell lines were used in this study.
Dual use research of concern	
Policy information about <u>dual use</u>	e research of concern
Hazards	
Could the accidental, deliberate	e or reckless misuse of agents or technologies generated in the work, or the application of information presented
in the manuscript, pose a threat to:	
No Yes	
Public health	
National security	
Crops and/or livestock	
Ecosystems Ecosystems	
Any other significant area	
Experiments of concern	
Does the work involve any of these experiments of concern:	
No Yes	
Demonstrate how to render a vaccine ineffective	
Confer resistance to therapeutically useful antibiotics or antiviral agents	
Enhance the virulence of a pathogen or render a nonpathogen virulent	
Increase transmissibility of a pathogen	
Alter the host range of a pathogen	
Enable evasion of diagnostic/detection modalities	
Enable the weaponization of a biological agent or toxin	
Any other potentially harmful combination of experiments and agents	
Precautions and benefits	
Biosecurity precautions All ex	periments were conducted in a Biosafety Level 3 (BSL-3) facility.
Biosecurity oversight Prior (IBC).	to conducting this work, the protocol was reviewed and approved by Columbia University's Institutional Biosafety Committee
	erstanding of the mutations that confer nirmatrelvir resistance, as well as the mechanisms by which SARS-CoV-2 acquires such ance, is critical for clinical surveillance of nirmatrelvir resistance and for the development of future protease inhibitors.

Communication benefits | Communication of these results will allow for clinical surveillance and appropriate use of nirmatrelvir, as well as provide insight into development of the next generation of protease inhibitors. Some of these mutant viruses have already been described elsewhere. Furthermore, as these described viruses remain susceptible to other therapeutic agents and arose naturally, we believe that communication of our data outweigh the risks.